

Attorney Docket No. 37531-501C02US

Applicants: Mark E. Deem et al.

Application No.: 10/820,581

3-30-09

IR

PTO/SB/92 (09-04)

Approved for use through 07/31/2006. OMB 0561-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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41,832

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Information Disclosure Statement (3 pages)
Form PTO 1449 (5 pages)
Non-U.S. patent references cited on Form PTO 1449 (95 references in 6 volumes)
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mark E. Deem et al. Art Unit : 3734
Serial No. : 10/820,581 Examiner : Bachman, Lindsey Michele
Filed : April 7, 2004 Conf. No. : 8576
Title : METHODS AND APPARATUS FOR CARDIAC VALVE REPAIR

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, the Commissioner is authorized to charge the filing fee of \$180.000 to Deposit Account No. 50-0311, Reference No. 37531-501C02US.

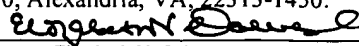
In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (5 pages) and copies of non-U.S. patent documents are provided herewith. Under 37 C.F.R. § 1.98 (a)(2)(ii), copies of any listed U.S. patents or U.S. patent application publications are not provided but can be upon request.

The references cited in this Information Disclosure Statement are being resubmitted herewith for your consideration as they were filed previously on November 29, 2004, September 21, 2005 and March 19, 2007 but were not considered by the examiner. The PTO 1449 forms were returned with the office action dated May 16, 2006 and the references marked through as not considered. At the examiner's request we are refilling herewith those references not considered in the previously filed Information Disclosure Statements.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Elizabeth V. Oakes

The documents cited on the Forms PTO-1449 are in the English language, with the exception of items noted below:

Item AN (German Patent No. DE3504292) is in the German language and is supplied with an English language abstract (Item DZ);

Item AO (European Patent No. EP0179562) is in the German language and is supplied with an English translation;

Item AP (European Patent No. EP0558031) is in the German language and is supplied with an English translation;

Item AQ (European Patent No. EP0684012) is in the German language and is supplied with an English abstract (Item EA);

Item AR (Japanese Patent No. 11089937) is in the Japanese language and is supplied with an English abstract (Item EB);

Item AS (French Patent No. FR2768324) is in the French language and includes an English language abstract;

Item CQ (article by Gateliene) is in Lithuanian and includes an English summary on page 174 of the article;

Item DN (article by Nakanishi et al.) is in Japanese and includes an English language abstract; and

Item DT (article by Tamura et al.) is in Japanese and includes an English language summary.

Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant also makes known to the Examiner the following pending U.S. and International Applications that have one or more common inventors and/or are commonly owned:

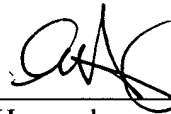
Applicants : Mark E. Deem et al.
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Filed : April 7, 2004
Page : 3 of 3

Attorney's Docket No.: 37531-501C02US
Supplemental Information Disclosure Statement

U.S.S.N.	Filing Date	Publ. No.	Docket No.
10/635,776	08/05/03	2004-0030382	501C01
10/927,263	08/25/04	2005-0021056	n/a
10/927,589	08/25/04	2005-0021057	n/a
11/058,957	02/15/05	2005-0149014	504D01
11/349,742	02/07/06	2006-0229708	502001
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12/398,971	03/05/09	n/a	502C01
12/361,500	01/28/09	n/a	501C03
Unassigned	03/10/09	n/a	504D02

Applicant respectfully requests that the Examiner review the foregoing documents and they be made of record in the file history of the above-captioned application. The Commissioner is also hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-0311, reference number 37531-501C02US.

Respectfully submitted,



Date: March 27, 2009

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